

# Extremal Problems for the Vector-Valued $\langle L^1/H_0^1, H^\infty \rangle$ Duality

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*Communicated by E. W. Cheney*

Received October 25, 1993; accepted in revised form April 5, 1995

Let  $X$  be a complex Banach space and  $L^1(X) := L^1(\mathbb{T}; X)$  the Bochner space on the circle  $\mathbb{T}$ . The  $X$ -valued Hardy space  $\mathbb{H}_0^1(X) := \{f \in L^1(X) : \hat{f}(n) = 0 \ \forall n \leq 0\}$  is proximal in  $L^1(X)$  if  $H$  has ARNP and is contractively complemented in  $X'$ . It is semi-Chebyshev if  $X$  is strictly convex. With  $H^\infty(X')$  the dual space of  $L^1(X)/\mathbb{H}_0^1(X)$ , extremal kernels and functions for this duality are studied. Proximality fails for  $X := L^1/H_0^1$ ; this is equivalent to the assertion that for  $A := \mathbb{N} \times \mathbb{Z} \cup \mathbb{Z} \times \mathbb{N}$ ,  $L_A^1(\mathbb{T}^2)$  is not proximal in  $L^1(\mathbb{T}^2)$ . A class of subsets  $A \subset \mathbb{Z}^2$  is described for which this non-proximality holds. © 1996 Academic Press, Inc.

## 1. INTRODUCTION AND PRELIMINARIES

A classical theorem first proved in 1941 by Doob [Do, Theorem 3] and reproved by many authors (Khavinson, see [Kh, 9.]; Rogosinski and Shapiro [RS]; Pták, see [Khe]) states that  $H_0^1 := \{f \in L^1(\mathbb{T}) : \hat{f}(n) = 0 \ \forall n \leq 0\}$  is a Chebyshev subspace of  $L^1 = L^1(\mathbb{T})$ , the Lebesgue space of the circle group  $\mathbb{T}$ . (A subset  $A$  of a metric space  $M$  is called semi-Chebyshev resp. proximal if for every  $x \in M$  at most resp. at least one best approximation in  $A$  exists, and Chebyshev if proximal and semi-Chebyshev, see [Si2, Definitions 2.1, 3.1].) In other words, every coset in  $L^1/H_0^1$  contains exactly one representative of the least possible (= coset) norm. Taking into account the duality  $(L^1/H_0^1)' = H^\infty := \{h \in L^\infty(\mathbb{T}) : \hat{h}(n) = 0 \ \forall n < 0\}$ , this is the solution of the following “extremal problem”: given a “kernel”  $f \in L^1$ , consider the functional  $h \mapsto \int_{\mathbb{T}} fh \, d\lambda$  on  $H^\infty$  ( $\lambda =$  Haar measure) and find uniquely an “equivalent” kernel  $f_0 \in L^1$  (i.e. giving the same functional,  $\Leftrightarrow f_0 - f \in H_0^1$ ) with  $\|f_0\|_1$  realizing the functional (= coset) norm. Such an  $f_0$  is called an extremal kernel. A “dual extremal function” is a function  $h \in H^\infty$  with  $\|h\|_\infty \leq 1$  and  $\int fh \, d\lambda$  realizing the functional norm; it also exists uniquely. This theory is presented in detail in the books of Duren [Du, Chapter 8] and Garnett [Ga, IV], and the first aim of this article,

mainly part of the author's habilitation thesis [H3], is to give a vector-valued generalization (§2). In §3 I discuss an example leading to a proximality problem in two-variable (scalar-valued) Fourier analysis which might be of independent interest. A short summary of results is postponed to the end of this section. For more details on the preliminaries the reader is referred to [H3].

1.1. *Spaces  $L^1(X)$  and  $M(X)$ .* Let  $X$  be a complex Banach space (dual  $X'$ , unit ball  $B_X$ ), then  $L^1(X) = L^1(\lambda; X)$  denotes the usual Lebesgue-Bochner space [DU, II] and  $M(X) = M(\Sigma; X)$  the space of ( $\sigma$ -additive)  $X$ -valued measures of bounded variation defined on the Borel  $\sigma$ -algebra  $\Sigma$  of  $\mathbb{T}$ . Under the variation norm on  $M(X)$ ,  $L^1(X) \subset M(X)$  isometrically via  $f \mapsto f \cdot \lambda$ , and Singer's theorem [Si 1, pp. 398ff.] states that  $(f, m) \mapsto \int \langle f, dm \rangle$  is a dual pairing on  $C(\mathbb{T}; X) \times M(\Sigma; X')$  rendering  $M(\Sigma; X')$  the dual space of  $(C(\mathbb{T}; X), \|\cdot\|_\infty)$ .

1.2.  *$L^\infty(X', X)$ , the Dual of  $L^1(X)$ .* A function  $f: \mathbb{T} \rightarrow X'$  is called weak\*- $\lambda$ -measurable, if  $\forall x \in X$  the function  $\langle x, f \rangle: \mathbb{T} \rightarrow \mathbb{C}$  is  $\lambda$ -measurable. For such a function, there exists  $|f| := \sup_{x \in B_X} |\langle x, f \rangle|$ , the supremum being taken in the order-complete vector lattice  $L^0(\lambda; \mathbb{R})$  of  $\lambda$ -measurable functions modulo  $\lambda$ -null functions [KA, p. 42f.]. Note that  $|f|(t) \leq \|f(t)\|_{X'}$  a.e. and the inequality may be strict. However, if  $X$  is separable, or if  $f$  is strongly measurable, then  $|f|$  equals  $\|f(\cdot)\|$  a.e. (see [H3, 1.3]).

Define  $\mathcal{L}^\infty(\lambda; X', X) := \{f: \mathbb{T} \rightarrow X' \text{ weak* measurable: } |f| \in L^\infty(\lambda)\}$  equipped with the seminorm  $\|f\|_\infty := \| |f| \|_\infty$ , and finally  $L^\infty(X', X) = L^\infty(\lambda; X', X) := \mathcal{L}^\infty(\lambda; X', X) / \|\cdot\|_\infty^{-1}(0)$ .

For  $f \in L^1(X)$ ,  $g \in L^\infty(X', X)$ , the function  $\langle f(\cdot), g(\cdot) \rangle =: \langle f, g \rangle$  is a well-defined (!) member of  $L^1$  and  $|\langle f, g \rangle| \leq |f| |g|$  a.e. [H1, (0.5) 5<sup>0</sup>]. Under the pairing  $(f, g) \mapsto \int \langle f, g \rangle d\lambda$  on  $L^1(X) \times L^\infty(X', X)$ , the space  $L^\infty(X', X)$  becomes the dual of  $L^1(X)$  (Bukhvalov [B1, Theorem 0.1], Ionescu-Tulcea [IT, VII.4 Theorem 7, Corollary], Schwartz [Sc, Corollaire (2.3)], see also [DS, VI.8.7]).

1.3. *Hardy Spaces, ARNP.* As a general notation, if  $E(\cdot)$  is any of the spaces  $L^1(X)$ ,  $L^\infty(X', X)$ , or  $M(X)$  and if  $A \subset \mathbb{Z}$ , then  $E_A(\cdot)$  is the subspace of members of  $E(\cdot)$  whose Fourier coefficients vanish off  $A$ . (If  $E(\cdot) = L^\infty(X', X)$ , the integral defining the coefficients is the Gel'fand or weak\* integral [DU, p. 53].) The Hardy spaces in this article are  $\mathbb{H}_0^1(X) := L_{\mathbb{N}}^1(X)$  resp.  $H^\infty(X') := L_{\mathbb{N}_0}^\infty(X', X)$ . The Banach space  $X$  has the analytic Radon-Nikodým property ARNP introduced by Bukhvalov and Danilevich [B1], [BD], [H1] iff  $\mathbb{H}_0^1(X) = M_{\mathbb{N}}(X)$  (see [H1, (2.10)]). The basic examples of ARNP spaces are RNP spaces (because  $m \in M_{\mathbb{N}}(X) \Rightarrow m \ll \lambda$  by the F. and M. Riesz theorem [Du, Theorem 3.8]), weakly sequentially complete

Banach lattices [BD, Theorem 3], [H 1, (3.5)], and preduals of von Neumann algebras [HP, 2.3].

1.4.  $H^\infty(X')$  Is the Dual of  $L^1(X)/\mathbb{H}_0^1(X)$ . Modulo general Banach space theory [Du, Theorem 7.2] this amounts to saying that  $H^\infty(X') \subset L^\infty(X', X) = L^1(X)'$  is the annihilator of  $\mathbb{H}_0^1(X) \subset L^1(X)$ . Clearly the annihilator is contained in  $H^\infty(X)'$ . Conversely, let  $f \in \mathbb{H}_0^1(X)$ ,  $g \in H^\infty(X')$  be given.

CLAIM.  $\langle f, g \rangle \in H_0^1$  (in particular  $\int \langle f, g \rangle d\lambda = 0$ ).

*Proof.* Let  $P_r(t) := \sum_{n \in \mathbb{Z}} r^{|n|} e^{int}$  be the Poisson kernel, then as in the scalar case,  $P_r * f \rightarrow f$  ( $r \rightarrow 1$ ) in  $L^1(X)$  [B1, Theorem 2.1], [H1, Satz (1.11)],  $f$  being strongly measurable. This implies  $\forall n \in \mathbb{Z}$ :

$$\langle P_r * f, g \rangle^\wedge(n) = \int \langle P_r * f(t), e^{-int} g(t) \rangle \lambda(dt) \rightarrow \langle f, g \rangle^\wedge(n) \quad (r \rightarrow 1).$$

By [H2, 4.2] the integral equals  $\sum_{k=1}^\infty r^k \langle \hat{f}(k), \hat{g}(n-k) \rangle = 0$  if  $n \leq 0$ . ■

1.5. *Summary.*  $\mathbb{H}_0^1(X)$  lies proximal in  $L^1(X)$  if  $X$  has ARNP and is norm-1 complemented in the bidual  $X''$  (2.1). The usual characterization of extremal kernels and functions is given (2.3). The former is unique if  $X$  is strictly convex, the latter if  $X$  is smooth and an extremal kernel exists (2.5). In §3 it is shown that for  $X = L^1/H_0^1$  (which fails ARNP)  $\mathbb{H}_0^1(X)$  is not proximal in  $L^1(X)$ . This turns out to be equivalent to the assertion that for  $A := \mathbb{N} \times \mathbb{Z} \cup \mathbb{Z} \times \mathbb{N} \subset \mathbb{Z}^2$  the space  $L_A^1(\mathbb{T}^2)$  is not proximal in  $L^1(\mathbb{T}^2)$ . The proof of this assertion (in fact, of a general criterion 3.3) consists of a reduction to the fact stated by Kahane that if  $\Gamma \subset \mathbb{Z}$  with  $1 < \#\mathbb{Z} \setminus \Gamma < \infty$  then  $L_\Gamma^1(\mathbb{T})$  is not proximal in  $L^1(\mathbb{T})$ . Since these seem to be the only known examples of non-proximal translation-invariant subspaces of  $L^1(\mathbb{T})$  (see [Ka]), this criterion might be interesting in itself.

## 2. VECTOR-VALUED THEORY

The theory developed in this section has useful applications in the study of weak compactness in  $L^1(X)/\mathbb{H}_0^1(X)$  [H3, 3.6].

2.1. THEOREM. *If  $X$  has ARNP and is complemented in  $X''$  by a contractive projection then  $\mathbb{H}_0^1(X)$  lies proximal in  $L^1(X)$ .*

The hypotheses are satisfied e.g. if  $X$  is a separable (or RNP) dual space, or a weakly sequentially complete Banach lattice, or a predual of a von Neumann algebra (1.3), [LT, 1.c.4], [T, III.2.14].

*Proof.*  $M_{\mathbb{N}}(X'')$  is obviously closed in  $M(X'')$  for the weak\* topology  $\sigma(M(X''), C(X'))$  (1.1), hence proximal [Si2, Theorem 2.9]. Considering (see 1.1)  $L^1(X)$  as a subspace of  $M(X) \subset M(X'')$ , for a fixed  $f \in L^1(X)$  there exists  $m_0'' \in M_{\mathbb{N}}(X'')$  with  $\|f - m_0''\| \leq \|f - m''\|$ , all  $m'' \in M_{\mathbb{N}}(X'')$ .

Let  $P: X'' \rightarrow X$  be a projection of norm 1, as assumed, and  $m_0 := P \circ m_0'' \in M(X)$ ; clearly  $m_0 \in M_{\mathbb{N}}(X)$ . By the ARNP hypothesis,  $m_0 = h_0 \cdot \lambda$  for some  $h_0 \in \mathbb{H}_0^1(X)$ . Then for  $h \in \mathbb{H}_0^1(X) \subset M_{\mathbb{N}}(X'')$ ,  $\|f - h\|_1 \geq \|f - m_0''\| \geq \|P \circ (f - m_0'')\| = \|f - h_0\|_1$ . ■

This proof is certainly the simplest (the slightly different approach of [Kh, 9.], [Du, p. 130 f.] would also work).

### *Extremal Kernels and Functions*

Consider the dual pairing  $\langle L^1(X)/\mathbb{H}_0^1(X), H^\infty(X') \rangle$  which is of the form  $\langle Z, Z' \rangle$  (1.4) and thus mutually norming. Fix  $f \in L^1(X) \setminus \mathbb{H}_0^1(X)$ ; the coset  $f + \mathbb{H}_0^1(X)$  of  $f$  in the quotient space will be denoted by  $[f]$  in the sequel;  $[f] \neq 0$ . Following Rogosinski, Shapiro [RS] and Duren [Du, Chapter 8],

2.2. DEFINITION. (1)  $f_0 \in L^1(X)$  is called an *extremal kernel* for  $[f]$  if  $f_0 \in [f]$  and  $\|f_0\|_1 = \|[f]\|$ ;

(2)  $h_0 \in H^\infty(X')$  is called a (*dual*) *extremal function* for  $[f]$  if  $\int \langle f, h_0 \rangle d\lambda = \|[f]\|$  and  $\|h_0\|_\infty \leq 1$ .

Thus,  $f_0$  should be an element of smallest norm in  $[f]$  whereas  $h_0$  is required to be a support functional of  $[f]$ .

Under the hypotheses of 2.1,  $f_0$  exists for every  $[f]$ . I will prove in §3 that without ARNP  $f_0$  need not exist. On the other hand,  $h_0$  of course always exists by Hahn-Banach.

A characterization of the following type looks familiar in the theory of extremal problems.

2.3. PROPOSITION. *Let  $f_0 \in [f]$  and  $h_0 \in B_{H^\infty(X')}$ . Then  $f_0$  is an extremal kernel and  $h_0$  a dual extremal function for  $[f]$  if and only if  $\langle f_0(t), h_0(t) \rangle = \|f_0(t)\|$  a.e. In this case,  $|h_0|(t) = 1$  a.e. where  $f_0(t) \neq 0$ .*

*Proof.* “only if” By hypothesis,

$$\int |f_0| d\lambda = \|f_0\|_1 = \|[f]\| = \int \langle f_0, h_0 \rangle d\lambda$$

and  $\|h_0\|_\infty \leq 1$ ; by 1.2,  $|\langle f_0, h_0 \rangle| \leq |f_0| |h_0| \leq |f_0|$  a.e., thus  $\langle f_0, h_0 \rangle = |f_0|$  a.e.

“if”

$$\begin{aligned} \|f_0\|_1 &= \int |f_0| \, d\lambda = \int \langle f_0, h_0 \rangle \, d\lambda \\ &= \int \langle f, h_0 \rangle \, d\lambda \leq \| [f] \| \|h_0\|_\infty \leq \| [f] \| \leq \|f_0\|_1. \end{aligned}$$

Last assertion: By 1.2 again,  $\|f_0(t)\| = \langle f_0(t), h_0(t) \rangle \leq \|f_0(t)\| |h_0(t)| \leq \|f_0(t)\|$  a.e. and the last statement follows. ■

**2.4. COROLLARY.** *If  $X$  has ARNP and is contractively complemented in  $X''$  then the set  $\{h \in H^\infty(X') : |h|(t) = \|h\|_\infty \text{ on a set of positive measure}\}$  is norm dense in  $H^\infty(X')$ .*

*Proof.* Let  $h \in H^\infty(X') = (L^1(X)/\mathbb{H}_0^1(X))'$  attain its norm  $\|h\|_\infty$  as a functional on  $L^1(X)/\mathbb{H}_0^1(X)$  in some  $[f] \in L^1(X)/\mathbb{H}_0^1(X)$ ,  $\|[f]\| = 1$ . I claim that  $|h|(t) = \|h\|_\infty$  on a set of positive measure; the assertion then follows from the Bishop–Phelps theorem [Di, p. 3]. For the claim, I can assume w.l.o.g.  $\|h\|_\infty = 1$ . But then  $h$  is an extremal function for  $[f]$ . Choose an extremal kernel  $f_0$  for  $[f]$ ; this is possible by theorem 2.1. Now by 2.3,  $|h|(t) = 1 = \|h\|_\infty$  a.e. on the set of positive measure  $\{f_0 \neq 0\}$ . ■

It can be proved that the conclusion of this corollary (due to Fisher [F, p. 482] in the scalar case) holds also under the (incomparable) assumption that  $X'$  has ARNP [H3, Corollary 2.12].

**2.5. THEOREM (Uniqueness).** (1) *Let  $f_1, f_2 \in L^1(X)$  be extremal kernels for  $[f]$ . Then*

(a)  $\|f_1(t)\| = \|f_2(t)\|$  a.e.

(b) *If  $X$  is strictly convex then even  $f_1 = f_2$  in  $L^1(X)$ .*

(2) *Let  $h_1, h_2 \in H^\infty(X')$  be extremal functions for  $[f]$ . Suppose that there exists (at least) one extremal kernel  $f_0$  for  $[f]$ . Then*

(a)  $|h_1|(t) = |h_2|(t) = 1$  a.e. where  $f_0(t) \neq 0$ .

(b) *If  $X$  is smooth then even  $h_1 = h_2$  in  $H^\infty(X')$ . (A Banach space is called “smooth” if every point  $\neq 0$  has a unique support functional.)*

*Proof.* (1.a) Choose an extremal function  $h_0 \in B_{H^\infty(X')}$  for  $[f]$ . By the proposition,  $\langle f_1(t) - f_2(t), h_0(t) \rangle = \|f_1(t)\| - \|f_2(t)\| \in \mathbb{R}$  a.e. Since the lefthand side is a member of  $H_0^1$  (1.4) it must be 0 a.e.

(b) It remains to prove  $f_1(t) = f_2(t)$  a.e. where  $f_1(t) \neq 0 \neq f_2(t)$ . For those  $t$ , it follows from  $\langle f_1(t), h_0(t) \rangle = \|f_1(t)\| = \|f_2(t)\| = \langle f_2(t), h_0(t) \rangle$  a.e. that  $f_1(t) = f_2(t)$  a.e., by strict convexity of  $X$ .

(2.a) See Proposition 2.3.

(b) Again by Proposition 2.3,  $\langle f_0(t), h_1(t) \rangle = \|f_0(t)\| = \langle f_0(t), h_2(t) \rangle$  a.e. Since  $f_0 \neq 0$  and  $X$  is smooth, this implies  $h_1(t) = h_2(t)$  on a set of positive measure (for fixed representatives of  $h_1, h_2 \in H^\infty(X') \subset L^\infty(X', X)$ ). Therefore, if  $x \in X$  is also fixed,  $\langle x, h_1(t) \rangle = \langle x, h_2(t) \rangle$  a.e., by the identity theorem for  $H^\infty$  [Du, Theorem 2.2]. This is the assertion. ■

*Remarks.* (i) Trivial (two-dimensional) examples show that neither extremal kernel nor function need be unique in general.

(ii) Part (1.b) says in other words that  $\mathbb{H}_0^1(X)$  is a semi-Chebyshev subspace of  $L^1(X)$  if  $X$  is strictly convex.

2.6. COROLLARY. *If  $X$  has ARNP and is complemented in  $X''$  by a contractive projection then  $L^1(X)/\mathbb{H}_0^1(X)$  is smooth if (and only if)  $X$  is smooth.*

*Proof.* Combine 2.5 (2.b) with 2.1. (The “only if” assertion is trivial since  $X$  can be identified with a subspace of  $L^1(X)/\mathbb{H}_0^1(X)$ .) ■

### 3. EXAMPLE AND A TWO-VARIABLE RESULT

Without the ARNP assumption on  $X$ ,  $\mathbb{H}_0^1(X)$  need not be proximal in  $L^1(X)$ . Although this is not particularly surprising, I am working out an example of this phenomenon, because I found the blend of harmonic analysis and approximation theory needed to establish it rather appealing.

Note that  $L^1/H_0^1$  fails ARNP [BD, Proposition 4.3], [H1, 3.3] and is contractively complemented in the bidual [A, Theorem 2], [Go1, p. 229 f].

3.1. EXAMPLE. For  $X := L^1/H_0^1$ ,  $\mathbb{H}_0^1(X)$  is *not* proximal in  $L^1(X)$ .

This assertion is established in several steps. First, it is reduced to a scalar problem in two variables, then further reduced to a minimal extrapolation problem in one variable the answer to which is known. To begin with, I have to consider several natural identifications in which  $\hat{\otimes}$  denotes the projective tensor product (see [DU, VIII.1.10], [Kö, §41.5(8)], [DS, III.11.16, 17] for justification): For  $X := L^1/H_0^1$ ,  $L^1(X) = L^1 \hat{\otimes} L^1/H_0^1 = (L^1 \hat{\otimes} L^1)/(L^1 \otimes H_0^1) = L^1(\mathbb{T}^2)/L_{\mathbb{Z} \times \mathbb{N}}^1(\mathbb{T}^2)$ . Let  $f \in L^1(\mathbb{T}^2)$  and  $F \in L^1(X)$  the element corresponding to  $f + L_{\mathbb{Z} \times \mathbb{N}}^1(\mathbb{T}^2)$  under this chain of identifications. Then for  $m \in \mathbb{Z}$ ,  $X = L^1/H_0^1 \ni \hat{F}(m) = \int_0^{2\pi} f(s, \cdot) e^{-ims} ds / 2\pi + H_0^1$ , so that  $\hat{F}(m) = 0 \in L^1/H_0^1 \Leftrightarrow \forall n \leq 0: \int_0^{2\pi} \int_0^{2\pi} f(s, t) e^{-ims} e^{-int} (ds/2\pi)(dt/2\pi) = 0$ . Altogether,  $F \in \mathbb{H}_0^1(X) \Leftrightarrow \hat{F}(m) = 0 \forall m \leq 0 \Leftrightarrow \forall m \leq 0 \forall n \leq 0: \hat{f}(m, n) = 0 \Leftrightarrow f \in L_{\mathbb{N} \times \mathbb{Z} \cup \mathbb{Z} \times \mathbb{N}}^1(\mathbb{T}^2)$ . In other words, under the identification  $L^1(X) = L^1(\mathbb{T}^2)/L_{\mathbb{Z} \times \mathbb{N}}^1(\mathbb{T}^2)$  above, the subspace  $\mathbb{H}_0^1(X)$  identifies with  $L_{\mathbb{N} \times \mathbb{Z} \cup \mathbb{Z} \times \mathbb{N}}^1(\mathbb{T}^2)/L_{\mathbb{Z} \times \mathbb{N}}^1(\mathbb{T}^2)$ . Now I use a result of

Cheney and Wulbert [Si2, Theorem 2.20], saying that if  $U \subset V \subset W$  is a chain of inclusions of Banach spaces, where  $U$  is proximal in  $W$ , then  $V$  is proximal in  $W$  if (and only if)  $V/U$  is proximal in  $W/U$ . Of course, I want to apply this lemma to the chain of inclusions  $L^1_{\mathbb{Z} \times \mathbb{N}}(\mathbb{T}^2) \subset L^1_{\mathbb{N} \times \mathbb{Z} \cup \mathbb{Z} \times \mathbb{N}}(\mathbb{T}^2) \subset L^1(\mathbb{T}^2)$ . This can be done because of the following proposition.

3.2. PROPOSITION.  $L^1_{\mathbb{Z} \times \mathbb{N}}(\mathbb{T}^2)$  is proximal in  $L^1(\mathbb{T}^2)$ .

*Proof.* One of the applications of the Bukhvalov–Lozanovskii theorem says that a subspace  $Z \subset L^1(\mu)$  is proximal if  $B_Z$  is closed for the topology of convergence in measure  $\mu$  (a finite measure) [BL, Theorem 1.6'], [B2, Theorem 1.7], [KA, X.5 Theorem 5]; such a  $Z$  is called “nicely placed” in  $L^1(\mu)$  by Godefroy [Go1], [Go2] who observed that  $H^1_0$  is nicely placed in  $L^1(\mathbb{T})$  [Go1, p. 230]. Therefore, it suffices to establish that  $L^1_{\mathbb{Z} \times \mathbb{N}}(\mathbb{T}^2)$  is nicely placed in  $L^1(\mathbb{T}^2)$ . This is a special case of [Go2, Theorem 2.7], or of the observation stated in [HWW, p. 200]: if  $Z$  is nicely placed in  $L^1(\mathbb{T})$  then  $L^1(\mathbb{T}; Z)$  is nicely placed in  $L^1(\mathbb{T}^2)$ . (A third proof is given in [H3, p. 46]). ■

By now it is established that the assertion of example 3.1 is equivalent to

3.3. EXAMPLE.  $L^1_{\mathbb{Z} \times \mathbb{N} \cup \mathbb{N} \times \mathbb{Z}}(\mathbb{T}^2)$  is not proximal in  $L^1(\mathbb{T}^2)$ .

This is obviously a special case of the following criterion. An affine straight line in  $\mathbb{Z}^2$  is a set of the form  $\Delta = \mathbb{Z}(m_1, n_1) + (m_0, n_0)$  for relatively prime  $m_1, n_1 \in \mathbb{Z}$  and arbitrary  $m_0, n_0 \in \mathbb{Z}$ ; with  $a = -n_1$ ,  $b = m_1$ ,  $c = am_0 + bn_0$ ,  $\Delta$  has also the description  $\Delta = \{(m, n) \in \mathbb{Z}^2 : am + bn = c\}$ .

THEOREM. Let  $\Delta \subset \mathbb{Z}^2$ , and suppose there exists an affine straight line  $\Delta \subset \mathbb{Z}^2$  which intersects  $\bigcup \Delta$  in finitely many but at least 2 points. Then  $L^1_{\Delta}(\mathbb{T}^2)$  is not proximal in  $L^1(\mathbb{T}^2)$ .

*Proof.* I reduce the question to a one-variable problem by showing that if  $L^1_{\Delta}(\mathbb{T}^2)$  were proximal in  $L^1(\mathbb{T}^2)$  then  $L^1_{\Gamma}(\mathbb{T})$  would have to be proximal in  $L^1(\mathbb{T})$  for  $\Gamma = \mathbb{Z} \setminus \Gamma'$ ,  $2 \leq \#\Gamma' < \infty$ , which is known to be false [Ka, p. 303, 3.]. The idea of this reduction is easy: embed  $L^1(\mathbb{T})$  into  $L^1(\mathbb{T}^2)$  “along  $\Delta$ ”. That is, with the data describing  $\Delta$  as above, define  $J: L^1(\mathbb{T}) \rightarrow L^1(\mathbb{T}^2)$ ,  $Jf(s, t) := e^{i(m_0s + n_0t)}f(m_1s + n_1t)$ .  $J$  is a (well-defined!) isometric embedding onto  $L^1_{\Delta}(\mathbb{T}^2)$ , and for  $(m, n) = k(m_1, n_1) + (m_0, n_0) \in \Delta$  ( $k \in \mathbb{Z}$ ) the relation  $\widehat{Jf}(m, n) = \widehat{f}(k)$  holds ( $f \in L^1(\mathbb{T})$ ). Now let  $\Gamma := \{k \in \mathbb{Z} : k(m_1, n_1) + (m_0, n_0) \in \Delta\} = \mathbb{Z} \setminus \Gamma'$  with  $2 \leq \#\Gamma' < \infty$  by hypothesis. Then  $J$  maps  $L^1_{\Gamma}(\mathbb{T})$  onto  $L^1_{\Delta \cap \Delta}(\mathbb{T}^2) = L^1_{\Delta}(\mathbb{T}^2) \cap L^1_{\Delta}(\mathbb{T}^2)$ . The situation is as follows:

$$\begin{array}{ccc}
 L^1_{\mathcal{A}}(\mathbb{T}^2) & \subset & L^1(\mathbb{T}^2) \\
 \cup & & \cup \\
 L^1_{\mathcal{A} \cap \mathcal{A}}(\mathbb{T}^2) & \subset & L^1_{\mathcal{A}}(\mathbb{T}^2) \\
 \uparrow \int & & \uparrow \int \\
 L^1_{\mathcal{I}}(\mathbb{T}) & \subset & L^1(\mathbb{T})
 \end{array}$$

3.4. LEMMA. Consider the following diagram of Banach spaces and inclusions:

$$\begin{array}{ccc}
 Y_2 & \subset & X_2 \\
 \cup & & \cup \\
 Y_2 \cap X_1 =: Y_1 & \subset & X_1
 \end{array}$$

Suppose there exists a contractive projection  $X_2 \rightarrow X_1$  leaving  $Y_2$  invariant. Then  $Y_2$  proximal in  $X_2$  implies  $Y_1$  proximal in  $X_1$ .

*Proof.* Trivial. ■

The proof of Theorem 3.3 is finished by establishing

3.5. LEMMA. There is a contractive projection  $L^1(\mathbb{T}^2) \rightarrow L^1_{\mathcal{A}}(\mathbb{T}^2)$  leaving  $L^1_{\mathcal{A}}(\mathbb{T}^2)$  invariant.

*Proof.* Define  $\mu \in M(\mathbb{T}^2) = C(\mathbb{T}^2)'$ ,  $\mu(f) := \int_0^{2\pi} e^{ic\theta} f(a\theta, b\theta) d\theta/2\pi$ , then  $\|\mu\| \leq 1$  and  $\hat{\mu} = 1_{\mathcal{A}}$ . The sought projection is  $f \mapsto \mu * f$ . ■

3.6. Note. It has been said that if  $\Gamma = \mathbb{Z} \setminus \Gamma'$ ,  $2 \leq \#\Gamma' < \infty$ , then it is known [Ka, p. 303, 3.] that  $L^1_{\Gamma'}(\mathbb{T})$  is not proximal in  $L^1(\mathbb{T})$ . In other words (see [loc.cit.]), the following discrete version of Beurling's "minimal extrapolation problem" does not always have a solution: Given a function  $\varphi$  on  $\Gamma'$  of the form  $\varphi = \hat{f}|_{\Gamma'}$  for some  $f \in L^1(\mathbb{T})$ , find a  $g \in L^1(\mathbb{T})$  of smallest norm with  $\hat{g}|_{\Gamma'} = \varphi$ . Kahane [loc.cit.] claims that  $\varphi := 1$  has no such "minimal extrapolation"  $g$ . To see this, by an argument involving an approximate identity for  $L^1(\mathbb{T})$  (e.g.,  $(P_r)_{r < 1}$ , see [Sh, 7.3.6]) it is proved first that  $\|g\|_1 = 1$ , then assuming w.l.o.g. that  $0 \in \Gamma'$ , it follows that  $g \geq 0$  whence  $\hat{g}$  is positive definite. However, by [R, 1.4.1(4<sup>0</sup>)] any positive definite function  $\Psi$  on  $\mathbb{Z}$  with  $\Psi(0) = \Psi(k) = 1$  for some  $k \neq 0$  must be  $k$ -periodic. Applied to  $\hat{g}$ , this contradicts the Riemann-Lebesgue lemma.

*Note added in proof.* Q. Xu observed that Proposition 3.2 follows also from Theorem 2.1, taking  $X := L^1(\mathbb{T})$  there.



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